

GEOMETRY OF EXPANDING ABSOLUTELY CONTINUOUS INVARIANT MEASURES AND THE LIFTABILITY PROBLEM

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ABSTRACT. We characterize the class of maps with absolutely continuous invariant probability measures which admit a Gibbs-Markov-Young structure.

1. INTRODUCTION AND STATEMENT OF RESULTS

1.1. Background and main definitions. In the 1960's, Sinai and Bowen showed that all *smooth uniformly hyperbolic* dynamical systems admit a *finite Markov partition* [10, 42]. Sinai, Ruelle and Bowen then used this remarkable geometric structure, and the associated symbolic coding of the system, to study the ergodic properties such as the rate of decay of correlations. Attempts to extend this approach to systems with discontinuities and/or satisfying weaker nonuniform hyperbolicity conditions by constructing countable Markov partitions has had some, but limited, success, see [29], in part due to the difficulty of constructing such partitions and in part due to the difficulty of understanding the ergodic theory of countable subshifts, though there have also been significant advances recently on this latter area [2, 12, 11, 24, 40, 41]. About ten years ago, L.-S. Young proposed an alternative geometric structure, which we shall call a *Gibbs-Markov-Young (GMV) structure*, as a way of studying the ergodic properties of certain dynamical systems [44, 45]. In her pioneering papers, Young showed that a GMV structure contains information about several ergodic properties of the system such as for example the rate of decay of correlations. She also showed that classical results for uniformly hyperbolic systems could be recovered in this framework (in fact it is straightforward to show that any system with a finite Markov partition also admits a GMV structure) and that GMV structures exist in more general situation where the classical approach fails. Over the last ten years, this approach has proved to be one of the most successful strategies for understanding the ergodic properties of large classes of systems, with some papers focussing on the consequences of having a GMV structure, e.g. [15, 22, 32, 31], and others focussing on the construction of such structures, e.g. [3, 14, 16, 17, 21, 45]. Notwithstanding these results, we still do not have a complete characterization of systems which admit a GMV structure. A natural generalization of the results of Sinai and Bowen to the smooth nonuniformly hyperbolic setting would be the following

Conjecture. *A dynamical system admits a GMV structure iff it is nonuniformly hyperbolic.*

The main purpose of this paper is to prove this conjecture in the endomorphism case in which all directions are (nonuniformly) expanding, i.e. when all the Lyapunov exponents are positive. We shall concentrate first of all on the case of C^2 endomorphisms (with and without critical points), but our techniques also give us an almost complete characterization result

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in the expanding case admitting singularities with unbounded derivative and also a slightly different almost complete characterization in the case in which the map has both critical points and singularities.

To give the precise definitions suppose that M is a compact Riemannian manifold of dimension $d \geq 1$, Leb (Lebesgue measure) is the normalized Riemannian volume on M and $f : M \rightarrow M$ is a measurable map which is differentiable almost everywhere (we shall be more specific about the regularity assumptions in the statement of results below).

Definition 1.1. Given a ball $\Delta \subseteq M$, we say that $F : \Delta \rightarrow \Delta$ is an *induced map* if $F(x) = f^{R(x)}(x)$ and $R : \Delta \rightarrow \mathbb{N}$ is an *inducing time* function with the property that $f^{R(x)}(x) \in \Delta$ whenever $x \in \Delta$. We say that an induced map $F : \Delta \rightarrow \Delta$ is *GMY* if there exists a (Leb mod 0) partition \mathcal{P} of Δ into open subsets such that R is constant on each element $U \in \mathcal{P}$ and $F|_U$ is a uniformly expanding diffeomorphism onto Δ with uniformly bounded volume distortion: more precisely, there are $0 < \kappa < 1$ and $K > 0$ such that for all $U \in \mathcal{P}$ and all $x, y \in U$

- i) $\|DF(x)^{-1}\| < \kappa$;
- ii) $\log \left| \frac{\det DF(x)}{\det DF(y)} \right| \leq K \text{dist}(F(x), F(y))$.

Moreover, if the inducing time function R is integrable with respect to Leb , then we say that the induced map has integrable return times. We say that f *admits a GMY structure* if it admits a GMY induced map with integrable return times.

If not all directions are expanding, this definition has to be generalized significantly (it may be necessary for example, to induce on a Cantor set, see [45]), but what we give here is sufficient for our purposes.

Definition 1.2. We say that an invariant probability measure μ is *expanding* if all its Lyapunov exponents are positive, i.e. for μ -almost every x and every $v \in T_x M \setminus \{0\}$,

$$\lambda(x, v) := \limsup_{n \rightarrow \infty} \frac{1}{n} \log \|Df^n(x)v\| > 0. \quad (1)$$

We say that μ is *regularly expanding* if it is expanding and in addition we have

$$\log \|Df^{-1}\| \in L^1(\mu). \quad (2)$$

Notice that condition (2) implies in particular that the limit in (1) converges.

1.2. Geometry of expanding measures. We can now state our result in the simplest but already non-trivial case. Here and in the rest of the paper we shall use the standard abbreviation of the term “absolutely continuous (with respect to Lebesgue) invariant probability” to *acip*.

Theorem 1. *Let $f : M \rightarrow M$ be a C^2 local diffeomorphism. Then f admits a GMY structure if and only if it admits an ergodic regularly expanding acip.*

C^2 local diffeomorphisms can be nonuniformly expanding (and strictly *not* uniformly expanding) in non-trivial ways. Our result applies in particular to the class of examples of expanding local diffeomorphisms constructed in [5]. Nevertheless, many interesting examples are of course not local diffeomorphisms but have critical points (for example one-dimensional Collet-Eckmann maps or higher dimensional Viana maps). Our results apply to such cases under some very mild nondegeneracy conditions on the set of critical points. These are analogous to the notion of a “non-flat critical point” in the one dimensional setting, which is essentially a point where at least some higher order derivative does not vanish.

Definition 1.3. We say that x is a *critical point* if $Df(x)$ is not invertible. We denote the set of critical points by \mathcal{C} and, for every $n \geq 0$, let $\mathcal{C}_n = \cup_{i=0}^n f^{-i}(\mathcal{C})$ and let $\text{dist}(x, \mathcal{C}_n)$ denote the distance between the point x and the set \mathcal{C}_n . We say that a critical set \mathcal{C} is *non-degenerate* if for every $n \geq 0$ there are constants $B > 1$ and $\beta, \beta' > 0$ (possibly depending on n) such that for every $x \in M \setminus \mathcal{C}_n$

$$(C1) \quad B^{-1} \text{dist}(x, \mathcal{C}_n)^\beta \leq \|Df(x)^{-1}\|^{-1} \leq B \text{dist}(x, \mathcal{C}_n)^{\beta'}.$$

Moreover, the functions $\log |\det Df^n|$ and $\log \|(Df^n)^{-1}\|$ are *locally Lipschitz* at points $x \in M \setminus \mathcal{C}_n$: for every $x, y \in M \setminus \mathcal{C}_n$ with $\text{dist}(x, y) < \text{dist}(x, \mathcal{C}_n)/2$ we have

$$(C2) \quad |\log \|Df^n(x)^{-1}\| - \log \|Df^n(y)^{-1}\|| \leq B \text{dist}(x, y) / \text{dist}(x, \mathcal{C}_n)^\beta;$$

$$(C3) \quad |\log |\det Df^n(x)| - \log |\det Df^n(y)|| \leq B \text{dist}(x, y) / \text{dist}(x, \mathcal{C}_n)^\beta.$$

Notice that $\|Df(x)^{-1}\|^{-1}$ is the minimum expansion of Df in any direction.

Theorem 2. *Let $f : M \rightarrow M$ be a C^2 map with a non-degenerate critical set. Then f admits a GMY structure if and only if it admits an ergodic regularly expanding acip.*

Critical points are not the only way that maps can fail to be local diffeomorphisms. Many interesting and relevant examples, also for applications, arise naturally with discontinuities and/or singularities (points near which the derivative is unbounded).

Definition 1.4. We say that x is a *singular point* if $Df(x)$ does not exist, including the case in which f is discontinuous at x . We say that a set of singular points \mathcal{C} is *non-degenerate* if $\text{Leb}(\mathcal{C}) = 0$ and, for every $n \geq 0$, there are constants $B > 1$ and $\beta, \beta' > 0$ (possibly depending on n) such that for every $x \in M \setminus \mathcal{C}_n$, conditions (C2), (C3) of the previous definition are satisfied, and condition (C1) is replaced by

$$(C1') \quad B^{-1} \text{dist}(x, \mathcal{C}_n)^{-\beta'} \leq \|Df^n(x)\| \leq B \text{dist}(x, \mathcal{C}_n)^{-\beta}.$$

For maps which have a non-degenerate singular set we get an almost complete characterization, the only gap occurring due to the fact that a GMY structure does not necessarily imply the integrability condition (2).

Theorem 3. *Let $f : M \rightarrow M$ be a C^2 map with a non-degenerate singular set. If f admits a GMY structure then it admits an ergodic expanding acip. Conversely, if f admits an ergodic regularly expanding acip then it admits a GMY structure.*

Finally, there are also many systems of interest which have a combination of critical points and singularities and possibly discontinuities near which the derivative is bounded away from zero and infinity (notice that this last situation is not included in the definitions of critical and singular points given above). These cases are actually quite subtle and the interaction between the critical and singular points can give rise to some significant technical issues.

Definition 1.5. We say that f admits a non-degenerate *critical/singular* set \mathcal{C} if it is a C^2 local diffeomorphism outside a set \mathcal{C} on which $Df(x)$ is not invertible or does not exist (including the case in which f is discontinuous at x) such that $\text{Leb}(\mathcal{C}) = 0$ and, for every $n \geq 0$, there are constants $B > 1$ and $\beta > 0$ such that for every $x \in M \setminus \mathcal{C}_n$, conditions (C2), (C3) above are satisfied, and condition (C1) or (C1') is replaced by

$$(C1'') \quad B^{-1} \text{dist}(x, \mathcal{C}_n)^\beta \leq \|Df^n(x)^{-1}\|^{-1} \leq \|Df^n(x)\| \leq B \text{dist}(x, \mathcal{C}_n)^{-\beta}.$$

Theorem 4. *Let $f : M \rightarrow M$ be a C^2 local diffeomorphism outside a non-degenerate critical/singular set \mathcal{C} . If f admits a GMY structure then it admits an ergodic expanding acip. Conversely, if f admits an ergodic regularly expanding acip μ satisfying $\log d(x, \mathcal{C}_n) \in L^1(\mu)$ then it admits a GMY structure.*

Due to the very weak conditions on the critical set, we allow here both critical points and singularities and even allow the same point to be critical in one direction and singular in the other, we need to assume the integrability of the logarithm of the distance function to the critical set.

1.3. The liftability problem. Our results can also be viewed in the context of the so-called “liftability problem”. It is a classical result that a GMY map F admits an ergodic absolutely continuous invariant probability measure (*acip*) ν with bounded density; see e.g. [44, Lemma 2] but the result goes back, at least in its idea, to the 50’s and is often considered a Folklore Theorem. It is then possible to define a measure

$$\mu = \frac{\sum_{j=0}^{\infty} f_*^j(\nu|_{\{R > j\}})}{\sum_{j=0}^{\infty} \nu(\{R > j\})}, \quad (3)$$

which is seen by standard arguments to be f -invariant and absolutely continuous. The integrability condition with respect to the Riemannian volume and the bounded density of ν imply the integrability of R with respect to ν and thus guarantees that the denominator is finite. It follows that μ is an acip for f .

Definition 1.6. If F is an induced map of f and ν and μ are F -invariant and f -invariant probability measures respectively, related by the formula (3), then we say that μ is the *projection* of ν or that ν is the *lift* of μ to the induced map.

A natural question is which measures can be obtained in this way, i.e. which measures admit a lift to a GMY induced map. A few papers have addressed the issue from various points of view, see for example [13, 28, 34, 35, 46] and in particular [20] in which a one-dimensional version of some of the results presented here are obtained, and [37] in which the liftability problem is studied in great generality (not just absolutely continuous measures) under some sets of assumptions different from, but related to, the assumptions of this paper. One direction of the implications stated in each of the theorems above can be viewed and formulated in this light. Thus, for example we have

Theorem 5. *Let $f : M \rightarrow M$ be a C^2 map with a non-degenerate critical set. Then every ergodic regularly expanding acip is liftable.*

To see how Theorem 5 follows from Theorem 2 (and the corresponding liftability statements in the other settings follow from the corresponding theorems) we just need to discuss the relationship between the original measure μ and the lift of the measure ν to the induced GMY map. Let ν be the absolutely continuous ergodic F -invariant measure for the GMY map of Theorem 6 with integrable return time function R . From [44, Lemma 2] it follows that ν has density with respect to Lebesgue measure on Δ bounded from above and below by positive constants. Then we easily get that R is also Lebesgue integrable. Keeping in mind that this return time is defined in terms of f^N we define $\tilde{R} = NR$ and the corresponding f -invariant probability measure $\tilde{\mu}$ by (3). It just remains to show that $\tilde{\mu} = \mu$. This follows from the standard fact that we have that $\tilde{\mu}$ and μ are both ergodic absolutely continuous f -invariant measures which contain Δ in their support and therefore they must be equal.

1.4. GMY structure implies expanding acip. One direction of the implications mentioned in our results is relatively straightforward, namely the fact that a GMY structures imply the existence of an expanding acip. We have already mentioned in the previous paragraph the classical arguments which show that a GMY structure implies the existence of an acip μ , and it thus only remains to show that μ has all positive Lyapunov exponents. Let $R_n = R_n(x)$ denotes the number of iterations of f required for x to have n returns under the

induced map F . Then we can write

$$\frac{1}{n} \log \|DF^n(x)v\| = \frac{1}{n} \log \|Df^{R_n(x)}(x)v\| = \frac{R_n}{n} \frac{1}{R_n} \log \|Df^{R_n}(x)v\|.$$

By the integrability of the return times we have R_n/n converging to some positive constant, and therefore by the positivity of the Lyapunov exponent for F it follows that the above equation is positive as $n \rightarrow \infty$ and this implies that f also has positive Lyapunov exponent. As far as the integrability condition (2) is concerned we only need to prove this in the setting of Theorems 1 and 2 (local diffeomorphisms and C^2 maps with a nondegenerate critical set) as it is not stated, and we cannot hope to prove it in general, in maps with singularities. In the local diffeomorphism case, this is clearly true since $\|Df\|$ and $\|Df^{-1}\|$ are uniformly bounded above and below, in the case of C^2 maps the integrability of $\log \|Df^{-1}\|$ is proved in [36, Lemma 4.2], and clearly implies also the integrability of $\log \|Df\|$ since $\|Df\|$ is bounded above.

1.5. Technical remarks and overview of the paper. We have already discussed in the previous paragraph one direction of the implications in our main Theorems. Thus, our full attention in the body of the paper is devoted to the construction of a GMY structure relying only on the assumption of the existence of an expanding acip. This construction consists of two main steps: the construction of the GMY induced map and the control of the return times in order to ensure integrability. In both of these steps we achieve, over and above the novelty of the results, major simplification and greater conceptual clarity in comparison to most existing approaches for similar constructions in other settings. Indeed, in most papers in which a GMY structure is obtained e.g. [6, 14, 18, 19, 23, 25, 45] the construction is quite involved and technical, using a mixture of combinatorial, analytic and probabilistic arguments. Also, in these papers significantly stronger assumptions are used which imply relatively fast rates of decay (e.g. exponential or polynomial) of the inducing time function, depending on various additional assumptions on the map. In our case, we are here able to implement what is essentially the most naive strategy in order to achieve our goal, namely to choose some small ball Δ and iterate it until some subset of Δ covers Δ in the right way. This subset then becomes one of the elements of the final partition and we repeat the procedure with the remaining points. A crucial tool used here to ensure that that all regions of Δ eventually grow sufficiently large is the notion of *hyperbolic time*. This idea which was first applied in the setting of nonuniformly expanding maps in [4] and has since then been widely applied in a variety of settings including the construction of induced GMY maps in some situations such as those considered in [6, 23] but not in many other constructions such as [45, 14, 25, 18, 19]. A major benefit of our approach is that it gives a particularly *efficient algorithm*. This allows us to obtain the integrability of the return times with no particular assumptions. Similar arguments and related results have been obtained by Pinheiro in a previous paper [36] and a more general recent preprint [37].

A far as the organization of the paper is concerned, notice that the statement of Theorem 4 concerning sufficient conditions for the existence of a GMY map, includes Theorems 1, 2 and 3 as special cases (we shall show that the additional integrability condition $\log d(x, \mathcal{C}_n) \in L^1(\mu)$ assumed explicitly in Theorem 4 is automatically satisfied in the other cases, see Remark 2.6). We shall therefore concentrate on the proof of the most general setting as formulated in Theorem 4. In Section 2 we show that some power of f satisfies some stronger expansion condition and also some slow recurrence to the singular set. These are the standard conditions which are usually assumed in the setting of so-called *nonuniformly expanding maps*. In Section 3 we recall some known properties of nonuniformly expanding maps including the crucial notion of *hyperbolic time*. We also prove the important fact that the support of an invariant measure for a nonuniformly expanding map contains a ball. This is important in our setting

because, unlike the situation in other papers such as [6, 23, 36], we are not assuming that the map is nonuniformly expanding on the whole manifold. In Section 4 we give the complete construction of the induced GMY map. We mention here some key differences between our construction and that of [6, 23, 36]. One of the shortcomings of [6] was a relatively inefficient construction which led to significantly larger inducing times than necessary, thus allowing only polynomial estimates to be obtained. This aspect of the construction was improved in [23, 36] where a global partition of the manifold was introduced, leading to significantly more efficient construction where the inducing times are essentially optimal. This strategy cannot be used here since our assumptions do not necessarily imply the map to be nonuniformly expanding on the whole attractor. We therefore return to a more local construction but develop a new strategy to improve the effectiveness of the inducing time estimates. Finally, in Subsection 4.4 we prove the integrability of the inducing times for the constructed GMY map.

2. NON-UNIFORM EXPANSION AND SLOW RECURRENCE

In this section we prove that there exists some subset $A \subseteq M$ on which some power of f satisfies some quite strong expansivity and recurrence conditions.

Definition 2.1. Let $f : M \rightarrow M$ be a C^2 local diffeomorphism outside a non-degenerate singular set \mathcal{C} . We say that f is *non-uniformly expanding (NUE)* on a set $A \subset M$ if there is $\lambda > 0$ such that for every $x \in A$ one has

$$\liminf_{n \rightarrow +\infty} \frac{1}{n} \sum_{j=1}^n \log \|Df(f^j(x))^{-1}\| < -\lambda.$$

We say that f has *slow recurrence (SR)* if given any $\epsilon > 0$ there exists $\delta > 0$ such that for every $x \in A$ we have

$$\limsup_{n \rightarrow +\infty} \frac{1}{n} \sum_{j=1}^n -\log \text{dist}_\delta(f^j(x), \mathcal{C}) \leq \epsilon,$$

where $\text{dist}_\delta(x, \mathcal{C}) = 1$ if $\text{dist}(x, \mathcal{C}) \geq \delta$ and $\text{dist}(x, \mathcal{C})$ otherwise.

The main result of this section is that there exists a set A on which some power of f satisfies the two conditions (NUE) and (SR).

Proposition 2.2. *Let μ be an ergodic regularly expanding acip. Then, for all N large enough, f^N satisfies NUE and SR on a forward f^N -invariant set A having a positive Lebesgue measure subset of points whose f^N -orbit is dense in A .*

Proposition 2.2 allows us to reduce the proof of our main theorems to the proof of the following

Theorem 6. *Let $f : M \rightarrow M$ be a C^2 local diffeomorphism outside a non-degenerate critical/singular set \mathcal{C} . Assume that f satisfies NUE and SR on a forward invariant set A having a positive Lebesgue measure subset of points whose orbit is dense in A . Then f admits a GMY structure.*

Theorem 6 and Proposition 2.2 imply a GMY structure $F : \Delta \rightarrow \Delta$ for f^N with return time function R . Clearly this immediately implies also a GMY structure $\tilde{F} : \Delta \rightarrow \Delta$ for f by simply taking a new return time function $\tilde{R} = NR$. The integrability of R implies the integrability of \tilde{R} and this therefore implies Theorem 4.

We shall prove Theorem 6 in Sections 3 and 4. In the remaining part of this section we prove Proposition 2.2. We first prove two auxiliary lemmas which are themselves of independent interest.

Lemma 2.3. *For all sufficiently large N we have*

$$\int \log \|(Df^N)^{-1}\| d\mu < 0. \quad (4)$$

Proof. By integrability part (2) of the regularly expanding assumption on μ and the subadditive ergodic theorem we have that $\lim_{n \rightarrow \infty} n^{-1} \log \|Df^n(x)^{-1}\|$ exists and converges to some constant $\tilde{\lambda}$ for μ -a.e. x . By the expansivity part (1) of the regularly expanding condition (positive Lyapunov exponents) it follows that $\tilde{\lambda} < 0$ so that, letting $\lambda = -\tilde{\lambda}$ we have that for μ -a.e. x

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \|Df^n(x)^{-1}\| = -\lambda < 0. \quad (5)$$

We remark that the subadditive ergodic theorem only gives $\tilde{\lambda} \leq \int \log \|Df^{-1}\| d\mu$ and therefore does not imply $\int \log \|Df^{-1}\| d\mu < 0$. That is why we need to take some higher iterate of f . We define the sequence of sets $B_N = \{x : \log \|Df^N(x)^{-1}\| > -\lambda N/2\}$ and write

$$\int \log \|(Df^N)^{-1}\| d\mu = \int_{M \setminus B_N} \log \|(Df^N)^{-1}\| d\mu + \int_{B_N} \log \|(Df^N)^{-1}\| d\mu \quad (6)$$

From (5) and the definition of B_N we have that $\mu(B_N) \rightarrow 0$ as $N \rightarrow \infty$ and so for sufficiently large N , assuming without loss of generality that $\mu(M) = 1$, we have

$$\int_{M \setminus B_N} \log \|Df^N(x)^{-1}\| d\mu \leq -\frac{\lambda}{2} N (1 - \mu(B_N)) \leq -\frac{\lambda}{3} N. \quad (7)$$

It is therefore sufficient to prove that the second integral on the right hand side of (6) is not too large. This is intuitively obvious since the measure of the B_N is going to zero, but we must make sure that this is not compensated by the fact that the integrand is possibly increasing in n . We shall use the following

Sublemma 2.4. *Let $\varphi \in L^1(\mu)$ and let $(B_n)_n$ be a sequence of sets with $\mu(B_n) \rightarrow 0$ as $n \rightarrow \infty$. Then*

$$\frac{1}{n} \sum_{j=0}^{n-1} \int_{B_n} \varphi \circ f^j d\mu \rightarrow 0, \text{ as } n \rightarrow \infty.$$

Proof. From the L^1 Ergodic Theorem (see e.g. [43, Corollary 1.14.1]) we have

$$\frac{1}{n} \sum_{j=0}^{n-1} \varphi \circ f^j \xrightarrow{L^1(\mu)} \varphi^*, \text{ as } n \rightarrow \infty. \quad (8)$$

Then we can write

$$\begin{aligned} \left| \frac{1}{n} \sum_{j=0}^{n-1} \int_{B_n} \varphi \circ f^j d\mu - \int_{B_n} \varphi^* d\mu \right| &= \left| \int \left(\frac{1}{n} \sum_{j=0}^{n-1} \varphi \circ f^j d\mu - \varphi^* \right) \chi_{B_n} d\mu \right| \\ &\leq \int \left| \frac{1}{n} \sum_{j=0}^{n-1} \varphi \circ f^j d\mu - \varphi^* \right| d\mu. \end{aligned}$$

It follows from (8) that this last quantity converges to 0 when $n \rightarrow \infty$. Since we also have $\int_{B_n} \varphi^* d\mu \rightarrow 0$, when $n \rightarrow \infty$, the conclusion then holds. \square

Returning to the proof of the Lemma, by the chain rule we have

$$\int_{B_N} \log \|Df^N(x)^{-1}\| d\mu \leq \sum_{j=0}^{N-1} \int_{B_N} \log \|Df(f^j(x))^{-1}\| d\mu =: N b_N. \quad (9)$$

Applying Sublemma 2.4 with $\varphi = \log \|(Df)^{-1}\|$ we get that $b_N \rightarrow 0$ when $N \rightarrow \infty$. Therefore, substituting (7) and (9) into (6) we obtain the desired conclusion. \square

Next we identify possible candidates for the set A in the Proposition. The existence of A is based on the following result on the existence of finitely many ergodic attractors for powers of f .

Lemma 2.5. *Given $N \geq 1$, there are $1 \leq \ell \leq N$ and f^N -invariant Borel sets C_1, \dots, C_ℓ such that:*

- (1) $\{C_1, \dots, C_\ell\}$ is a partition (μ -mod 0) of M with $\mu(C_j) \geq 1/N$ for each $1 \leq j \leq \ell$;
- (2) $(f^N, \mu|_{C_j})$ is ergodic for each $1 \leq j \leq \ell$.

Proof. We start by proving that if C is an f^N -invariant subset with positive measure, then $\mu(C) \geq 1/N$. Indeed, assume by contradiction that $\mu(C) < 1/N$. Consider the f -invariant set

$$\bigcup_{j=0}^{N-1} f^{-j}(C).$$

We have that

$$0 < \mu \left(\bigcup_{j=0}^{N-1} f^{-j}(C) \right) \leq \sum_{j=0}^{N-1} \mu(f^{-j}(C)) < 1.$$

This gives a contradiction, because the set is f -invariant and μ is ergodic.

Now, if (f^N, μ) is not ergodic, then we may decompose M into a union of two f^N -invariant disjoint sets with positive measure. If the restriction of μ to some of these sets is not ergodic, then we iterate this process. Note that this must stop after a finite number of steps with at most N disjoint subsets, since f^N -invariant sets with positive measure have its measure bounded from below by $1/N$. \square

For a given $N \geq 1$ we shall refer to the sets $A_i = \text{supp}(\mu|_{C_i})$, with $1 \leq i \leq \ell$ and A_1, \dots, A_ℓ given by the previous lemma, as the *ergodic attractors* of (f^N, μ) . Observe that if μ is ergodic with respect to f^N , then it has exactly one ergodic attractor. We are now ready to complete the proof of the Proposition.

Proof of Proposition 2.2. Choosing N sufficiently large, Lemmas 2.3 and 2.5 imply that there is some ergodic attractor $A = A_i$ of (f^N, μ) such that $\int_A \log \|(Df^N)^{-1}\| d\mu < 0$. Thus, by Birkhoff's Ergodic Theorem for μ almost every $x \in A$ one has

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{j=0}^{n-1} \log \|Df^N(f^{Nj}(x))^{-1}\| = \int_A \log \|(Df^N)^{-1}\| d\mu < 0.$$

This proves NUE for f^N for μ almost every point in the set A . Let us now prove the slow recurrence condition SR for f^N in the same ergodic component A . By assumption we have $\log \text{dist}(\cdot, \mathcal{C}_N) \in L^1(\mu)$. Therefore, by the monotone convergence theorem we have $\int_A -\log \text{dist}_\delta(\cdot, \mathcal{C}_N) d\mu \rightarrow 0$, when $\delta \rightarrow 0$. So, by Birkhoff's Ergodic Theorem, given any $\epsilon > 0$ there exists $\delta > 0$ such that

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{j=0}^{n-1} -\log \text{dist}_\delta(f^{Nj}, \mathcal{C}_N) = \int_A -\log \text{dist}_\delta(\cdot, \mathcal{C}_N) d\mu \leq \epsilon$$

for μ almost every $x \in A$ which is exactly conditions (SR).

Remark 2.6. The integrability condition $\log \text{dist}(\cdot, \mathcal{C}_N) \in L^1(\mu)$ is assumed explicitly in the statement of Theorem 4, but not in the settings of Theorems 1, 2 and 3, where it actually follows from the other assumptions, in particular the nondegeneracy conditions on the critical and singular sets together with the integrability condition $\log \|Df^{-1}\| \in L^1(\mu)$ stated in (2). Indeed, $\log \text{dist}(\cdot, \mathcal{C}_N) \in L^1(\mu)$ is trivially satisfied in the setting of local diffeomorphisms. In the presence of critical points, condition (C1) implies $\|Df(x)^{-1}\|^{-1} \leq d(x, \mathcal{C}_N)^{\beta'}$ which implies $\|Df(x)^{-1}\| \geq d(x, \mathcal{C}_N)^{-\beta'}$ and thus $\int \log \|Df^{-1}\| d\mu \geq -\beta' \int \log d(x, \mathcal{C}_N) d\mu$. Then from condition (2) we get $-\int \log d(x, \mathcal{C}_N) d\mu < +\infty$ which gives $\int \log d(x, \mathcal{C}_N) d\mu > -\infty$ which implies $\log \text{dist}(\cdot, \mathcal{C}_N) \in L^1(\mu)$. By a completely analogous argument, in the presence of singular points condition (C1') implies $\|Df\| \geq d(x, \mathcal{C}_N)^{-\beta'}$ which implies $\log \|Df(x)\| \geq -\beta' \log d(x, \mathcal{C}_N)$ and thus $\log d(x, \mathcal{C}_N) \in L^1(\mu)$ once again.

Finally, for the last statement in the Proposition, we also know that orbits in the support of an ergodic measure have almost all dense orbit in that support, see e. g. [26, Proposition 4.1.18]. The same conclusion holds with respect to Lebesgue measure follow from the fact that μ is absolutely continuous with respect to Lebesgue measure. This completes the proof of the Proposition. \square

3. CHOICE OF INDUCING DOMAIN

We now begin the proof of Theorem 6. Assume that f satisfies NUE and SR on a forward invariant set A with positive Lebesgue measure subset of points whose orbit is dense in A . This section is devoted to the proof of the following result and its corollary, which will be used for the choice of our domain Δ of definition for the induced map F .

Proposition 3.1. *For sufficiently small $\delta_1 > 0$, there is a ball B of radius $\delta_1/4$ such that $\text{Leb}(B \setminus A) = 0$. Moreover, there are $p \in B$ and $N_0 \in \mathbb{N}$ such that $\bigcup_{j=0}^{N_0} f^{-j}\{p\}$ is $\delta_1/4$ -dense in A and disjoint from the critical/singular set \mathcal{C} .*

A similar statement was proved in [5, Lemma 5.6] under some stronger assumptions in the definition of condition NUE. We fix once and for all a point $p \in A$ and $N_0 \in \mathbb{N}$ satisfying the conclusions of Proposition 3.1, i.e. such that the set of preimages of p up to N_0 is $\delta_1/4$ -dense in A . For sufficiently small

$$\delta_0 \ll \delta_1$$

where the conditions on δ_0 will be determined below, we define the (Leb mod 0) subsets of A

$$\Delta = B(p, \delta_0) \quad \text{and} \quad \Delta' = B(p, 2\delta_0). \quad (10)$$

As a relatively straightforward corollary of Proposition 3.1 we shall prove that every ball of sufficiently large size, i.e. of radius at least δ_1 , has a subset which maps diffeomorphically with bounded distortion onto Δ' within a uniformly bounded number of iterations.

Corollary 3.2. *If δ_0 is sufficiently small, then there are constants D_0, K_0 such that for any ball \tilde{B} of radius δ_1 with $\text{Leb}(\tilde{B} \setminus A) = 0$ there are an open set $V \subset \tilde{B}$ and an integer $0 \leq m \leq N_0$ for which:*

- (1) f^m maps V diffeomorphically onto Δ' ;
- (2) for each $x, y \in V$

$$\log \left| \frac{\det Df^m(x)}{\det Df^m(y)} \right| \leq D_0 \text{dist}(f^m(x), f^m(y));$$

- (3) for each $0 \leq j \leq m$ and for all $x \in f^j(V)$ we have

$$K_0^{-1} \leq \|Df^j(x)\|, \|(Df^j(x))^{-1}\|, |\det Df^j(x)| \leq K_0;$$

in particular $f^j(V) \cap \mathcal{C} = \emptyset$.

To prove Proposition 3.1 we introduce the fundamental notion of a *hyperbolic time* which will play a key role also in subsequent sections. This notion in the form in which we formulate it here was first defined and applied in [4]. We fix once and for all $B > 1$ and $\beta > 0$ as in Definition 1.4, and take a constant $b > 0$ such that $2b < \min\{1, \beta^{-1}\}$.

Definition 3.3. Given $0 < \sigma < 1$ and $\delta > 0$, we say that n is a (σ, δ) -hyperbolic time for $x \in M$ if for all $1 \leq k \leq n$,

$$\prod_{j=n-k+1}^n \|Df(f^j(x))^{-1}\| \leq \sigma^k \quad \text{and} \quad \text{dist}_\delta(f^{n-k}(x), \mathcal{C}) \geq \sigma^{bk}. \quad (11)$$

In the case $\mathcal{C} = \emptyset$ the definition of hyperbolic time reduces to the first condition in (11).

We denote

$$H_j(\sigma, \delta) = \{x \in M : j \text{ is a } (\sigma, \delta)\text{-hyperbolic time for } x\}.$$

A fundamental consequence of properties NUE and SR is the existence of hyperbolic times as in the following result whose proof can be found in [5, Lemma 5.4].

Lemma 3.4. *There are $\delta > 0$, $0 < \sigma < 1$ and $\theta > 0$ such that*

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \# \{1 \leq j \leq n : x \in H_j(\sigma, \delta)\} \geq \theta,$$

for every $x \in A$.

From now on we consider δ, σ, θ fixed as in Lemma 3.4 and let $H_j = H_j(\delta, \sigma)$.

Remark 3.5. It is easy to see that if $x \in H_j$ for a given $j \in \mathbb{N}$, then $f^i(x) \in H_m$ for any $1 \leq i < j$ and $m = j - i$.

The next lemma gives the main properties of the hyperbolic times such as uniform backward contraction and bounded distortion. For the proof see [5, Lemma 5.2, Corollary 5.3].

Lemma 3.6. *There exists $\delta_1, C_1 > 0$ such that if n is a (σ, δ) -hyperbolic time for x , then there is neighborhood V_n of x such that:*

- (1) f^n maps V_n diffeomorphically onto a ball of radius δ_1 around $f^n(x)$;
- (2) for every $1 \leq k \leq n$ and $y, z \in V_n$,

$$\text{dist}(f^{n-k}(y), f^{n-k}(z)) \leq \sigma^{k/2} \text{dist}(f^n(y), f^n(z));$$

- (3) for any $y, z \in V_n$

$$\log \frac{|\det Df^n(y)|}{|\det Df^n(z)|} \leq C_1 \text{dist}(f^n(y), f^n(z)).$$

We call the sets V_n *hyperbolic pre-balls* and their images $f^n(V_n)$ *hyperbolic balls*. The latter are actually balls of radius $\delta_1 > 0$. Notice that $\delta_1 > 0$ can be taken arbitrarily small for a fixed choice of $\delta > 0$.

Lemma 3.7. *Assume that $2\delta_1 < \delta < 1$. There is $C_2 > 0$ such that if n is a (σ, δ) -hyperbolic time for x and V_n is the corresponding hyperbolic pre-ball, then:*

- (1) for every $1 \leq k \leq n$ and $y \in V_n$,

$$\text{dist}(f^k(y), \mathcal{C}) \geq \frac{1}{2} \min\{\delta, \sigma^{b(n-k)}\};$$

- (2) for any Borel sets $Y, Z \subset V_n$,

$$\frac{1}{C_2} \frac{\text{Leb}(Y)}{\text{Leb}(Z)} \leq \frac{\text{Leb}(f^n(Y))}{\text{Leb}(f^n(Z))} \leq C_2 \frac{\text{Leb}(Y)}{\text{Leb}(Z)};$$

- (3) there is $\tau_n > 0$ such that for any $x \in H_n$ one has $B(x, \tau_n) \subset V_n$. In particular, every H_n is covered by a finite number of hyperbolic pre-balls.

Proof. Since n is a hyperbolic time for x , then using the second item of Lemma 3.6 we obtain

$$\begin{aligned} \text{dist}(f^k(y), \mathcal{C}) &\geq \text{dist}(f^k(x), \mathcal{C}) - \text{dist}(f^k(x), f^k(y)) \\ &\geq \text{dist}(f^k(x), \mathcal{C}) - \delta_1 \sigma^{(n-k)/2} \end{aligned} \quad (12)$$

Now, if $\text{dist}(f^k(x), \mathcal{C}) = \text{dist}_\delta(f^k(x), \mathcal{C})$, recalling that we have taken $b < 1/2$, then using (12) and the definition of hyperbolic time we get

$$\text{dist}(f^{n-k}(y), \mathcal{C}) \geq \frac{1}{2} \sigma^{b(n-k)},$$

as long as $\delta_1 < 1/2$. Otherwise, we have $\text{dist}(f^k(x), \mathcal{C}) \geq \delta$, and so

$$\text{dist}(f^k(y), \mathcal{C}) \geq \frac{1}{2} \delta,$$

as long as $\delta_1 < \delta/2$. This proves the first item.

Let us now prove the second item. By a change of variables induced by f^n we may write

$$\begin{aligned} \frac{\text{Leb}(f^n(Y))}{\text{Leb}(f^n(Z))} &= \frac{\int_Y |\det Df^n(y)| d\text{Leb}(y)}{\int_Z |\det Df^n(z)| d\text{Leb}(z)} \\ &= \frac{|\det Df^n(y_0)| \int_Y \left| \frac{\det Df^n(y)}{\det Df^n(y_0)} \right| d\text{Leb}(y)}{|\det Df^n(z_0)| \int_Z \left| \frac{\det Df^n(z)}{\det Df^n(z_0)} \right| d\text{Leb}(z)}, \end{aligned}$$

where y_0 and z_0 are chosen arbitrarily in Y and Z , respectively. Using the third item of Lemma 3.6 we easily find uniform bounds for this expression.

To prove the third item, we observe that from the first item we can take a neighborhood \mathcal{N}_n of the critical/singular set such that $V_n \subset M \setminus \mathcal{N}_n$. Hence, there is a constant K_n depending only on the hyperbolic time n such that $\|Df^n|_{V_n}\| \leq K_n$ and so the result follows. \square

Proof of Proposition 3.1. For the first part of the Proposition, it is enough to prove that there exist balls of radius $\delta_1/4$ where the relative measure of A is arbitrarily close to one. Since the set of points with infinitely many hyperbolic times is positively invariant and A also is positively invariant, we may assume, without loss generality, that every point in A has infinitely many hyperbolic times. Let $\epsilon > 0$ be some small number. By regularity of Leb , there is a compact set $A_c \subset A$ and open set $A_0 \supset A$ such that

$$\text{Leb}(A_0 \setminus A_c) < \epsilon \text{Leb}(A). \quad (13)$$

Assume that n_0 is large enough so that for every $x \in A_c$, any hyperbolic preball $V_n(x)$ with $n \geq n_0$ is contained in A_0 . Let $W_n(x)$ be a part of $V_n(x)$ that is sent diffeomorphically by f^n onto the ball $B(f^n(x), \delta_1/4)$. By compactness there are $x_1, \dots, x_r \in A_c$ and $n(x_1), \dots, n(x_r) \geq n_0$ such that

$$A_c \subset W_{n(x_1)}(x_1) \cup \dots \cup W_{n(x_r)}(x_r). \quad (14)$$

For the sake of notational simplicity we shall write for each $1 \leq i \leq r$

$$V_i = V_{n(x_i)}(x_i), \quad W_i = W_{n(x_i)}(x_i) \quad \text{and} \quad n_i = n(x_i).$$

Let $n_1^* < n_2^* < \dots < n_s^*$ be the distinct values taken by the n_i 's. Let $I_1 \subset \mathbb{N}$ be a maximal subset of $\{1, \dots, r\}$ such that for each $i \in I_1$ both $n_i = n_1^*$, and $W_i \cap W_j = \emptyset$ for every $j \in I_1$ with $j \neq i$. Inductively, we define I_k for $2 \leq k \leq s$ as follows: supposing that I_1, \dots, I_{k-1} have already been defined, let I_k be a maximal set of $\{1, \dots, r\}$ such that for each $i \in I_k$ both $n_i = n_k^*$, and $W_i \cap W_j = \emptyset$ for every $j \in I_1 \cup \dots \cup I_{k-1}$ with $i \neq j$.

Define $I = I_1 \cup \dots \cup I_S$. By construction we have that $\{W_i\}_{i \in I}$ is a family of pairwise disjoint sets. We claim that $\{V_i\}_{i \in I}$ is a covering of A_c . To see this, recall that by construction, given any W_j with $1 \leq j \leq r$, there is some $i \in I$ with $n(x_i) \leq n(x_j)$ such that $W_{x_j} \cap W_{x_i} \neq \emptyset$. Taking images by $f^{n(x_i)}$ we have

$$f^{n(x_i)}(W_j) \cap B(f^{n(x_i)}(x_i), \delta_1/4) \neq \emptyset.$$

It follows from Lemma 3.6, item (2) that

$$\text{diam}(f^{n(x_i)}(W_j)) \leq \frac{\delta_1}{2} \sigma^{(n(x_j) - n(x_i))/2} \leq \frac{\delta_1}{2},$$

and so

$$f^{n(x_i)}(W_j) \subset B(f^{n(x_i)}(x_i), \delta_1).$$

This gives that $W_j \subset V_i$. We have proved that given any W_j with $1 \leq j \leq r$, there is $i \in I$ so that $W_j \subset V_i$. Taking into account (14), this means that $\{V_i\}_{i \in I}$ is a covering of A_c .

By Lemma 3.6, item (3) one may find $\tau > 0$ such that

$$\text{Leb}(W_i) \geq \tau \text{Leb}(V_i), \quad \text{for all } i \in I.$$

Hence,

$$\begin{aligned} \text{Leb}\left(\bigcup_{i \in I} W_i\right) &= \sum_{i \in I} \text{Leb}(W_i) \\ &\geq \tau \sum_{i \in I} \text{Leb}(V_i) \\ &\geq \tau \text{Leb}\left(\bigcup_{i \in I} V_i\right) \\ &\geq \tau \text{Leb}(A_c). \end{aligned}$$

From (13) one deduces that $\text{Leb}(A_c) > (1 - \epsilon) \text{Leb}(A)$. Noting that the constant τ does not depend on ϵ , choosing $\epsilon > 0$ small enough we may have

$$\text{Leb}\left(\bigcup_{i \in I} W_i\right) > \frac{\tau}{2} \text{Leb}(A). \quad (15)$$

We are going to prove that

$$\frac{\text{Leb}(W_i \setminus A)}{\text{Leb}(W_i)} < \frac{2\epsilon}{\tau}, \quad \text{for some } i \in I. \quad (16)$$

This is enough for our purpose, since taking $B = f^{n(x_i)}(W_i)$ we have by invariance of A and Lemma 3.6, item (3)

$$\frac{\text{Leb}(B \setminus A)}{\text{Leb}(B)} \leq \frac{\text{Leb}(f^{n(x_i)}(W_i \setminus A))}{\text{Leb}(f^{n(x_i)}(W_i))} \leq C_0 \frac{\text{Leb}(W_i \setminus A)}{\text{Leb}(W_i)} = \frac{2C_0\epsilon}{\tau},$$

which can obviously be made arbitrarily small. From this one easily deduces that there are disks of radius $\delta_1/4$ where the relative measure of A is arbitrarily close to one.

Finally, let us prove (16). Assume, by contradiction, that it does not hold. Then, using (13) and (15)

$$\begin{aligned} \epsilon \text{Leb}(A) &> \text{Leb}(A_0 \setminus A_c) \\ &\geq \text{Leb} \left(\left(\bigcup_{i \in I} W_i \right) \setminus A \right) \\ &\geq \frac{2\epsilon}{\tau} \text{Leb} \left(\bigcup_{i \in I} W_i \right) \\ &\geq \epsilon \text{Leb}(A). \end{aligned}$$

This gives a contradiction and proves the first part of the Proposition.

For the second part, since we are assuming that f is a local diffeomorphism up to a set of zero Lebesgue measure, then the set

$$\mathcal{B} = \bigcup_{n \geq 0} f^{-n} \left(\bigcup_{m \geq 0} f^m(\mathcal{C}) \right)$$

has Lebesgue measure equal to zero. On the other hand, there is a positive Lebesgue measure subset of points in A with dense orbit. Thus there must be some point $q \in A \setminus \mathcal{B}$ with dense orbit in A . Take $N_0 \in \mathbb{N}$ for which $q, f(q), \dots, f^{N_0}(q)$ is $\delta_1/4$ -dense in A and $f^{N_0}(q) \in B$. The point $p = f^{N_0}(q)$ satisfies the conclusion of the lemma. \square

Proof of Corollary 3.2. The proof is similar to [6, Lemma 2.6], though we repeat it here in order to clarify the fact that also it holds in the situation where A is not necessarily equal to M . Since $\bigcup_{j=0}^{N_0} f^{-j}\{p\}$ is disjoint from \mathcal{C} , then choosing δ_0 sufficiently small we have that each connected component of the preimages of $B(p, 2\delta_0)$ up to time N_0 is bounded away from the critical/singular set \mathcal{C} and is contained in a ball of radius $\delta_1/4$. Moreover, $\bigcup_{j=0}^{N_0} f^{-j}\{p\}$ is $\delta_1/4$ -dense in A and this immediately implies that any ball B as in the statement of the lemma contains a preimage V of $B(p, 2\delta_0)$ which is mapped diffeomorphically onto $B(p, 2\delta_0)$ in most N_0 iterates, thus giving (1). Moreover, since the number of iterations and the distance to the critical region are uniformly bounded, we immediately get (2) and (3). \square

4. MARKOV STRUCTURE AND RECURRENCE TIMES

In this section we give the complete construction of the induced map $F : \Delta \rightarrow \Delta$ and prove the required properties. We divide the section into four subsections. In 4.1 we give the purely combinatorial algorithm for the construction. In 4.2 we show that all partition elements constructed according to this algorithm satisfy the required expansion and distortion properties. In 4.3 we show that the algorithm actually gives a partition mod 0 of Δ in the sense that Lebesgue almost every point of Δ belongs to the interior of some partition element. Finally, in 4.4 we prove the integrability of the return times.

4.1. The partitioning algorithm. We start by describing an inductive construction of the \mathcal{P} partition (Leb mod 0) of Δ . Given a point $x \in H_n \cap \Delta$, by Lemma 3.6 there exists a hyperbolic pre-ball $V_n(x)$ such that $f^n(V_n(x)) = B(f^n(x), \delta_1)$. From Proposition 3.2, there are a set $V \subset B(f^n(x), \delta_1)$ and an integer $0 \leq m \leq N_0$ such that $f^m(V) = \Delta' \supset \Delta$. Define

$$U_{n,m}^x = (f|_{V_n(x)}^{n,m})^{-1}(\Delta). \quad (17)$$

These sets $U_{n,m}^x$ are the candidates for elements of the partition of Δ corresponding to the induced map F since they are mapped onto Δ with uniform expansion and bounded distortion. Notice that the sets $U_{n,m}^x$ and $U_{n',m'}^{x'}$ for distinct points x, x' are not necessarily disjoint and this

is a major complication in the construction. The strategy for dealing with this is additionally complicated by the fact that $U_{n,m}^x$ does not necessarily contain the point x . To deal with these issues, we introduce sets Δ_n and S_n such that Δ_n is the part of Δ that has not been partitioned up to time n , and S_n , that we call the *satellite set*, corresponding to the portion of a reference hyperbolic pre-ball that was not used for constructing an element of the partition. It is important to note that to each step of the algorithm is associated a unique hyperbolic time and possibly several distinct return times.

First step of induction. We fix some large $n_0 \in \mathbb{N}$ and ignore any dynamics occurring up to time n_0 . Define $\Delta^c = M \setminus \Delta$. By the third item of Lemma 3.7, H_{n_0} can be covered by a finite number of hyperbolic pre-balls, and thus there are $z_1, \dots, z_{N_{n_0}} \in H_{n_0}$ such that

$$H_{n_0} \subset V_{n_0}(z_1) \cup \dots \cup V_{n_0}(z_{N_{n_0}}).$$

Consider a maximal family

$$\mathcal{U}_{n_0} = \{U_{n_0,m_1}^{x_1}, \dots, U_{n_0,m_{k_{n_0}}}^{x_{k_{n_0}}}\}$$

of pairwise disjoint sets of type (17) contained in Δ with $x_1, \dots, x_{k_{n_0}} \in \{z_1, \dots, z_{N_{n_0}}\}$. These are the elements of the partition \mathcal{P} constructed in the n_0 -step of the algorithm. Set $R(x) = n_0 + m_i$ for each $x \in U_{n_0,m_i}^{x_i}$ with $0 \leq i \leq k_{n_0}$. Now let

$$\tilde{H}_{n_0} = \{z_1, \dots, z_{N_{n_0}}\} \setminus \{x_1, \dots, x_{k_{n_0}}\}.$$

be the set of points in $\{z_1, \dots, z_{N_{n_0}}\}$ which were not “used” in the construction of \mathcal{U}_{n_0} . Notice that the reason they were not used is that the associated sets of the form $U_{n_0,m}$ overlap one of the sets in \mathcal{U}_{n_0} which were selected. We want to keep track of which sets overlap which and so, for each given $U \in \mathcal{U}_{n_0}$, and each $0 \leq m \leq N_0$, we define

$$H_{n_0}^m(U) = \left\{x \in \tilde{H}_{n_0} : U_{n_0,m}^x \cap U \neq \emptyset\right\} \quad (18)$$

and the n_0 -satellite

$$S_{n_0}(U) = \bigcup_{m=0}^{N_0} \bigcup_{x \in H_{n_0}^m(U)} V_{n_0}(x) \cap (\Delta \setminus U).$$

Thus, the n_0 -satellite of U is the union of all hyperbolic pre-balls which “could have” had a subset returning to Δ but were unlucky in that such a subset overlaps the set U which was chosen instead. It will be convenient to consider also the n_0 -satellite associated to Δ^c

$$S_{n_0}(\Delta^c) = \bigcup_{m=0}^{N_0} \bigcup_{x \in H_{n_0}^m(\Delta^c)} V_{n_0}(x) \cap \Delta.$$

Finally we define the *global n_0 -satellite*

$$S_{n_0} = \bigcup_{U \in \mathcal{U}_{n_0}} S_{n_0}(U) \cup S_{n_0}(\Delta^c) \quad (19)$$

and

$$\Delta_{n_0} = \Delta \setminus \bigcup_{U \in \mathcal{U}_{n_0}} U. \quad (20)$$

General step of induction. The general step of the construction follows the ideas above with minor modifications. Assume that the set Δ_s is defined for each $s \leq n-1$. Once more by the third item of Lemma 3.7 there are $z_1, \dots, z_{N_n} \in H_n$ such that

$$H_n \subset V_n(z_1) \cup \dots \cup V_n(z_{N_n}).$$

Consider a maximal family

$$\mathcal{U}_n = \{U_{n,m_1}^{x_1}, \dots, U_{n,m_{k_n}}^{x_{k_n}}\}$$

of pairwise disjoint sets of type (17) contained in Δ_{n-1} with $x_1, \dots, x_{k_n} \in \{z_1, \dots, z_{N_n}\}$. These are the elements of the partition \mathcal{P} constructed in the n -step of algorithm. Set $R(x) = n + m_i$ for each $x \in U_{n,m_i}^{x_i}$ with $0 \leq i \leq k_n$. Let

$$\tilde{H}_n = \{z_1, \dots, z_{N_n}\} \setminus \{x_1, \dots, x_{k_n}\}.$$

Given $U \in \mathcal{U}_{n_0} \cup \dots \cup \mathcal{U}_n$, we define for $0 \leq m \leq N_0$

$$H_n^m(U) = \left\{x \in \tilde{H}_n : U_{n,m}^x \cap U \neq \emptyset\right\} \quad (21)$$

and its n -satellite

$$S_n(U) = \bigcup_{m=0}^{N_0} \bigcup_{x \in H_n^m(U)} V_n(x) \cap (\Delta \setminus U).$$

It will be convenient to consider also the n -satellite associated to Δ^c

$$S_n(\Delta^c) = \bigcup_{m=0}^{N_0} \bigcup_{x \in H_n^m(\Delta^c)} V_n(x) \cap (\Delta \setminus \Delta^c).$$

Finally we define the *global n -satellite*

$$S_n = \bigcup_{U \in \mathcal{U}_{n_0} \cup \dots \cup \mathcal{U}_n} S_n(U) \cup S_n(\Delta^c) \quad (22)$$

and

$$\Delta_n = \Delta \setminus \bigcup_{U \in \mathcal{U}_{n_0} \cup \dots \cup \mathcal{U}_n} U. \quad (23)$$

Remark 4.1. Note that the construction of these objects has been performed in such a way that for each $n \geq n_0$ one has

$$H_n \subset S_n \cup \bigcup_{U \in \mathcal{U}_{n_0} \cup \dots \cup \mathcal{U}_n} U.$$

4.2. Expansion and bounded distortion. Recall that, by construction, the return time R for an element U of the partition \mathcal{P} of Δ is made by a certain number n of iterations given by the hyperbolic time of a pre-ball $V_n \subset U$, plus a certain number $m \leq N_0$ of additional iterates which is the time it takes to go from $f^n(V_n)$, which could be anywhere in M , to $f^{n+m}(V_n)$, which covers Δ completely. It follows from Lemmas 3.6 and Proposition 3.2 that

$$\begin{aligned} \|Df^{n+m}(x)^{-1}\| &\leq \|Df^m(f^n(x))^{-1}\| \cdot \|Df^n(x)^{-1}\| \\ &\leq K_0 \sigma^{n/2} \\ &\leq K_0 \sigma^{(n_0 - N_0)/2}. \end{aligned}$$

Taking n_0 sufficiently large we can make this last expression smaller than one. We also need to show that there exists a constant $K > 0$ such that for any x, y belonging to an element $U \in \mathcal{P}$ with return time R , we have

$$\log \left| \frac{\det Df^R(x)}{\det Df^R(y)} \right| \leq K \operatorname{dist}(f^R(x), f^R(y)).$$

By Lemmas 3.6 and Proposition 3.2, it is enough to take $K = D_0 + C_1 K_0$.

Remark 4.2. Analogously to Lemma 3.7, there exists a constant $C_4 > 0$ such that for any Borel sets $Y, Z \subset (f|_{V_n^{n+m}})^{-1}(\Delta')$ we have

$$\frac{1}{C_4} \frac{\text{Leb}(Y)}{\text{Leb}(Z)} \leq \frac{\text{Leb}(f^{n+m}(Y))}{\text{Leb}(f^{n+m}(Z))} \leq C_4 \frac{\text{Leb}(Y)}{\text{Leb}(Z)}.$$

4.3. The measure of satellites. In this section, we will show that the algorithm described above does indeed produce a partition (Leb mod 0) of Δ . Notice first of all that since $\Delta \supset \Delta_{n_0} \supset \Delta_{n_0+1} \supset \dots$, we only have to check that $\text{Leb}(\cap_n \Delta_n) = 0$. This is a consequence of the following

Proposition 4.3. $\sum_{n=n_0}^{\infty} \text{Leb}(S_n) < \infty$.

Indeed, it follows from Proposition 4.3 and the Borel-Cantelli Theorem that Lebesgue almost every point in Δ belongs to finitely many S'_n s. Since a generic point $x \in \Delta$ has infinitely many σ -hyperbolic times, it follows that for almost every $x \in \Delta$ one can find n such that $x \in H_n$ and $x \notin S_j$ for $j \geq n$. Thus, recalling Remark 4.1 one must have $x \in \{R = n+m\}$ for some $0 \leq m \leq N_0$. Since this is valid for Lebesgue almost all $x \in \Delta$, then $\text{Leb}(\cap_n \Delta_n) = 0$.

Thus we just need to prove Proposition 4.3. We shall prove first two auxiliary lemmas. The first one gives in particular that $U_{n,m}^x$ represents a positive proportion of $V_n(x)$.

Lemma 4.4. *There exists $C_3 > 0$ such that given any $n \geq 1$ and $x_1, \dots, x_N \in H_n$ with $U_{n,m}^{x_i} = U_{n,m}^{x_1}$ for $1 \leq i \leq N$, then*

$$\text{Leb}\left(\bigcup_{i=1}^N V_n(x_i)\right) \leq C_3 \text{Leb}(U_{n,m}^{x_1}).$$

Proof. For simplicity of notation we shall write for $1 \leq i \leq N$,

$$V_n(x_i) = V_i \quad \text{and} \quad B(f^n(x_i), \delta_1) = B_i.$$

We define

$$X_1 = V_1 \quad \text{and} \quad X_i = V_i \setminus \bigcup_{j=1}^{i-1} V_j, \quad \text{for } 2 \leq i \leq N.$$

Similarly

$$Y_1 = B_1 \quad \text{and} \quad Y_i = B_i \setminus \bigcup_{j=1}^{i-1} B_j, \quad \text{for } 2 \leq i \leq N.$$

Observe that $V_1 \cup \dots \cup V_N = X_1 \dot{\cup} \dots \dot{\cup} X_N$ and $B_1 \cup \dots \cup B_N = Y_1 \dot{\cup} \dots \dot{\cup} Y_N$. Recalling that $U_{n,m}^{x_i} = U_{n,m}^{x_1}$ for $1 \leq i \leq N$, by bounded distortion we have

$$\frac{\text{Leb}(X_i)}{\text{Leb}(U_{n,m}^{x_1})} \leq C_2 \frac{\text{Leb}(Y_i)}{\text{Leb}(f^n(U_{n,m}^{x_1}))}.$$

Hence

$$\begin{aligned} \frac{\text{Leb}(V_1 \cup \dots \cup V_N)}{\text{Leb}(U_{n,m}^{x_1})} &= \frac{\sum_{i=1}^N \text{Leb}(X_i)}{\text{Leb}(U_{n,m}^{x_1})} \\ &\leq C_2 \frac{\sum_{i=1}^N \text{Leb}(Y_i)}{\text{Leb}(f^n(U_{n,m}^{x_1}))} \\ &\leq C_2 \frac{\text{Leb}(B_1 \cup \dots \cup B_N)}{\text{Leb}(f^n(U_{n,m}^{x_1}))}. \end{aligned}$$

Moreover, by a change of variables we have

$$\int_{\Delta} d\text{Leb}(z) = \int_{f^n(U_{n,m}^x)} |\det Df^m(y)| d\text{Leb}(y).$$

So, by Proposition 3.2,

$$\text{Leb}(f^n(U_{n,m}^x)) \geq K_0^{-1} \text{Leb}(\Delta).$$

Therefore the result follows taking $C_3 = C_2 K_0 \text{Leb}(M) \setminus \text{Leb}(\Delta)$. \square

The next lemma shows that, for each n and m fixed, the Lebesgue measure of the union of candidates $U_{n,m}^x$ which intersects an element of partition is proportional to the Lebesgue measure of this element. The proportion constant can actually be made uniformly summable in n .

Lemma 4.5. *There exists $C_5 > 0$ such that given $0 \leq m \leq N_0$, $k \geq n_0$ and $U \in \mathcal{U}_k$, then for any $n \geq k$*

$$\text{Leb} \left(\bigcup_{x \in H_n^m(U)} U_{n,m}^x \right) \leq C_5 \sigma^{\frac{n-k}{2}} \text{Leb}(U).$$

Proof. Consider an integer $k \geq n_0$ and a set $U \in \mathcal{U}_k$. Recall that by construction we have $R|U = k + m_0$ for some $0 \leq m_0 \leq N_0$. Moreover, U is part of a hyperbolic preball V_k which is sent diffeomorphically onto Δ by f^{k+m_0} ; recall (17). We define

$$T = (f|_{V_k}^{k+m_0})^{-1}(\Delta' \setminus \Delta).$$

Now consider $L \in \mathbb{N}$ large so that

$$2K_0\sigma^{(L-1)N_0/2} < 1,$$

where N_0 and K_0 are given by Proposition 3.1 and Proposition 3.2 respectively. We shall split the proof into two separate cases depending on whether $k \leq n \leq k + LN_0$ or $n > k + LN_0$.

(1) Assume first that $k \leq n \leq k + LN_0$. Fix some set $U_{n,m}^x$ with $x \in H_n^m(U)$. Having in mind the conclusion we need, it is no restriction to assume that $U_{n,m}^x$ intersects the complement of $U \cup T$ (observe that $U \cup T$ is obviously a proportion of U). Hence, there is a point $u \in U_{n,m}^x \cap T$ for which $v = f^{k+m_0}(u)$ satisfies $\text{dist}(v, p) = 3\delta_0/2$.

CLAIM: *There is a uniform constant $\tilde{\rho} > 0$ for which $\text{Leb}(f^{n,m}(U_{n,m}^x \cap T)) \geq \tilde{\rho}$.*

Consider first that $n + m > k + m_0$. Considering $\ell = (n + m) - (k + m_0)$ we have $0 \leq \ell \leq LN_0 + N_0$. Just by continuity there is $\rho > 0$ and a neighborhood V_ρ of u such that both

$$f^{n+m}(V_\rho) = B(f^\ell(v), \rho) \cap \Delta \quad \text{and} \quad f^{k+m_0}(V_\rho) \subset \Delta' \setminus \Delta.$$

Observe that f sends $f^{k+m_0}(V_\rho)$ onto $B(f^\ell(v), \rho) \cap \Delta$ in ℓ iterates. Moreover, when we look back, we see that the ℓ backward iterates comprise a certain number of at most N_0 backward iterates plus at most LN_0 backward iterates of a hyperbolic ball. Thus, by Proposition 3.2 and Lemma 3.7 we guarantee some uniform bound on the derivative of those backward iterates. This means that it is possible to choose ρ uniformly. Hence, there $\tilde{\rho}$ (depending only on ρ) for which

$$\text{Leb}(f^{n+m}(U_{n,m}^x \cap T)) \geq \tilde{\rho},$$

which gives the claim in this case.

Consider now $n + m < k + m_0$. Taking in this case $\ell = (k + m_0) - (n + m)$, we have $\ell \leq N_0$. By continuity there is $\rho > 0$ for which

$$f^\ell(B(f^{n+m}(u), \rho) \cap \Delta) \subset \Delta' \setminus \Delta.$$

By Proposition 3.2 we have some uniform bound on the derivative of the backward iterates of $f^\ell(B(f^{n+m}(u), \rho) \cap \Delta)$. This means that it is possible to choose ρ uniformly, and so there exists $\tilde{\rho}$ (depending only on ρ) for which the claim again holds.

Let us now use the claim to prove the first part of the lemma. Note that we can find $\xi > 0$ such that

$$\frac{\text{Leb}(\Delta' \setminus \Delta)}{\text{Leb}(\Delta)} \leq \xi,$$

and so, by bounded distortion in time $k + m_0$,

$$\frac{\text{Leb}(T)}{\text{Leb}(U)} \leq C_4 \frac{\text{Leb}(\Delta' \setminus \Delta)}{\text{Leb}(\Delta)} \leq C_4 \xi. \quad (24)$$

By bounded distortion in the time $n + m$,

$$\frac{\text{Leb}(U_{n,m}^x)}{\text{Leb}(U_{n,m}^x \cap T)} \leq C_4 \frac{\text{Leb}(\Delta)}{\tilde{\rho}}. \quad (25)$$

Now observe that for fixed n, m and given $x, x' \in H_n^m(U)$ one must have $U_{n,m}^x = U_{n,m}^{x'}$ or $U_{n,m}^x \cap U_{n,m}^{x'} = \emptyset$. Since $U_{n,m}^x \cap T$ is contained in T , it follows from (24) and (25) that

$$\text{Leb} \left(\bigcup_{x \in H_n^m(U)} U_{n,m}^x \right) \leq C_4^2 \xi \frac{\text{Leb}(\Delta)}{\tilde{\rho}} \text{Leb}(U).$$

(2) Assume now that $n > k + LN_0$. Since for each $U_{n,m}^x$ we have

$$\text{diam}(f^{k+m_0}(U_{n,m}^x)) \leq 2\delta_0 K_0 \sigma^{n-(k+m_0)/2}$$

then, by the choice of L , the sets $U_{n,m}^x$ are contained in $T \cup U$ for $n > k + LN_0$. Moreover, defining the annulus inside Δ' around the boundary of Δ

$$A_{n,k} = \{x \in \Delta' : \text{dist}(x, \partial\Delta) \leq 2\delta_0 K_0 \sigma^{n-(k+N_0)/2}\}$$

we have

$$f^{k+m_0}(U_{n,m}^x) \subset A_{n,k}.$$

By bounded distortion

$$\frac{\text{Leb} \left(\bigcup_{x \in H_n^m(U)} U_{n,m}^x \right)}{\text{Leb}(U)} \leq C_4 \frac{\text{Leb}(A_{n,k})}{\text{Leb}(\Delta)}.$$

Since there is a constant $\eta > 0$ for which

$$\frac{\text{Leb}(A_{n,k})}{\text{Leb}(\Delta)} \leq \eta \sigma^{\frac{n-k}{2}},$$

then we have

$$\text{Leb} \left(\bigcup_{x \in H_n^m(U)} U_{n,m}^x \right) \leq \eta C_4 \sigma^{\frac{n-k}{2}} \text{Leb}(U).$$

Take $C_5 = \max\{C_4^2 \xi \text{Leb}(\Delta) / \tilde{\rho}, \eta C_4\}$. □

We are now ready to complete the proof of the main proposition of this section.

Proof of Proposition 4.3. Observe that

$$\sum_{n=n_0}^{\infty} \text{Leb}(S_n) \leq \sum_{n=n_0}^{\infty} \text{Leb}(S_n(\Delta^c)) + \sum_{k=n_0}^{\infty} \sum_{U \in \mathcal{U}_k} \sum_{n=k}^{\infty} \text{Leb}(S_n(U)). \quad (26)$$

We start by estimating the sum with respect to the satellites of Δ^c . It follows from the definition of $S_n(\Delta^c)$ and Lemma 3.6 that

$$S_n(\Delta^c) \subset \{x \in \Delta : \text{dist}(x, \partial\Delta) < 2\delta_1 \sigma^{n/2}\}.$$

Thus, we can find $\zeta > 0$ such that

$$\text{Leb}(S_n(\Delta^c)) \leq \zeta \sigma^{n/2}.$$

This obviously implies that the part of the sum respecting Δ^c in (26) is finite.

Consider now $k \geq n_0$ and $n \geq k$. Fix $U \in \mathcal{U}_k$ and consider $S_n(U)$ the n -satellite associated to it. By definition of $S_n(U)$ and Lemma 4.4 we have

$$\begin{aligned} \text{Leb}(S_n(U)) &\leq \sum_{m=0}^{N_0} \text{Leb} \left(\bigcup_{x \in H_n^m(U)} V_n(x) \right) \\ &\leq C_3 \sum_{m=0}^{N_0} \text{Leb} \left(\bigcup_{x \in H_n^m(U)} U_{n,m}^x \right) \end{aligned} \quad (27)$$

For the last step observe that for fixed n, m and given $x, x' \in H_n^m(U)$ one must have $U_{n,m}^x = U_{n,m}^{x'}$ or $U_{n,m}^x \cap U_{n,m}^{x'} = \emptyset$. Thus, by Lemma 4.5

$$\text{Leb}(S_n(U)) \leq C_3 C_5 (N_0 + 1) \sigma^{\frac{n-k}{2}} \text{Leb}(U)$$

Letting $C = C_3 C_5 (N_0 + 1)$ it follows that

$$\begin{aligned} \sum_{k=n_0}^{\infty} \sum_{U \in \mathcal{U}_k} \sum_{n=k}^{\infty} \text{Leb}(S_n(U)) &\leq C \sum_{k=n_0}^{\infty} \sum_{U \in \mathcal{U}_k} \sum_{j=0}^{\infty} \sigma^{j/2} \text{Leb}(U) \\ &= C \frac{1}{1 - \sigma^{1/2}} \sum_{k=n_0}^{\infty} \sum_{U \in \mathcal{U}_k} \text{Leb}(U) \\ &\leq C \frac{1}{1 - \sigma^{1/2}} \text{Leb}(\Delta). \end{aligned}$$

This gives the conclusion. \square

4.4. Integrability of the inducing times. In the previous sections we proved the existence of a Lebesgue mod 0 partition \mathcal{P} of Δ and an inducing time function $R : \Delta \rightarrow \mathbb{N}$ which is constant in the elements of \mathcal{P} . Moreover, the map $F : \Delta \rightarrow \Delta$ defined for $F(x) = f^{R(x)}(x)$ is a C^2 piecewise uniformly expanding map with uniform bounded distortion. By [44, Lemma 2] such a map has a unique absolutely continuous (with respect to Lebesgue measure) ergodic invariant probability measure ν whose density is bounded away from zero and infinity by constants. Thus in particular the integrability with respect to Lebesgue of the return time function R is equivalent to the integrability with respect to ν . To complete the proof it is therefore sufficient to prove the following

Proposition 4.6. *The inducing time function R is ν -integrable.*

Proof. We first introduce some notation. For $x \in \Delta$ we consider the orbit $x, f(x), \dots, f^{n-1}(x)$ of the point x under iteration by f for some large value of n . In particular x may undergo several full returns to Δ before time n . Then we define the following quantities:

$H^{(n)}(x) :=$ number of hyperbolic times for x before time n

$S^{(n)}(x) :=$ number of times x belongs to a satellite before time n

$R^{(n)}(x) :=$ number of returns of x before time n

Each time that x has a hyperbolic time, it either then has a return within some finite and uniformly bounded number of iterations, or by definition it belongs to a satellite. Therefore there exists some constant $\kappa > 0$ independent of x and n such that

$$R^{(n)}(x) + S^{(n)}(x) \geq \kappa H^{(n)}(x)$$

Notice that x may belong to a satellite or have a return without it having a hyperbolic time itself, since it may belong to a hyperbolic pre-ball of some other point y which has a hyperbolic time. Dividing the above equation through by n we get

$$\frac{R^{(n)}(x)}{n} + \frac{S^{(n)}(x)}{n} \geq \frac{\kappa H^{(n)}(x)}{n}$$

Recalling that hyperbolic times have uniformly positive asymptotic frequency, there exists a constant $\theta > 0$ such that $H^{(n)}(x)/n \geq \theta$ for all n sufficiently large, and therefore, rearranging the left hand side above gives

$$\frac{R^{(n)}(x)}{n} \left(1 + \frac{S^{(n)}(x)}{R^{(n)}(x)} \right) \geq \kappa \theta > 0$$

Moreover $S^{(n)}(x)/R^{(n)}(x)$ converges by Birkhoff's ergodic theorem to precisely the average number of times $\int S d\nu$ that typical points belong to satellites before they return, and from Proposition 4.3 it follows that $\int S d\nu < \infty$. Therefore, we have

$$\frac{R^{(n)}(x)}{n} \geq \kappa' > 0 \tag{28}$$

for all sufficiently large n where κ' can be chosen arbitrarily close to $\kappa\theta/(1 + \int S d\nu)$ which is independent of x and n . To conclude the proof notice that $n/R^{(n)}(x)$ is precisely the average return time over the first n iterations and thus converges by Birkhoff's ergodic theorem to $\int R d\nu$. This holds even if we do not assume a priori that R is integrable since it is a positive function and thus $\int R d\nu$ is always well defined and lack of integrability necessarily implies $\int R d\nu = +\infty$. Thus, arguing by contradiction and assuming that $\int R d\nu = +\infty$ gives $n/R^{(n)}(x) \rightarrow \int R d\nu = +\infty$ and therefore $R^{(n)}(x)/n \rightarrow 0$. This contradicts (28) and therefore implies that we must have $\int R d\nu < +\infty$ as required. \square

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